

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No. 23712/111 First Inventor George Eckerdt Title TANGIBLE SECURITY ASSET MANAGEMENT SYSTEM AND METHODS THEREOF Express Mail Label No. EV138588116US	
<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i> 3. <input checked="" type="checkbox"/> Specification [Total Pages 27] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications (<i>if applicable</i>)</li> <li>- Statement Regarding Fed sponsored R &amp; D (<i>if applicable</i>)</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix (<i>if applicable</i>)</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (<i>if filed</i>)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 15] 5. Oath or Declaration [Total Pages □] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Unsigned c. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</li> </ul> 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)			
of prior application No.: _____ / _____ Prior application information: Examiner _____ Group / Art Unit: _____			
<b>For CONTINUATION OR DIVISIONAL APPS only:</b> The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
<b>19. CORRESPONDENCE ADDRESS</b>			
<input type="checkbox"/> Customer Number or Bar Code Label		<input type="checkbox"/> Correspondence address below	
Name Nixon Peabody LLP Address Clinton Square, P.O. Box 31051 City Rochester State NY Zip Code 14603-1051 Country USA Telephone (585) 263-1519 Fax (585) 263-1600			
Name (Print/Type) John Campa		Registration No. (Attorney/Agent) 49,014	
Signature 		Date	August 19, 2003

## **FEE TRANSMITTAL**

A horizontal row of 20 empty square boxes, intended for children to draw or write in.

*Patent fees are subject to annual revision.*

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT**

(S) 771

<i>Complete if Known</i>	
Application Number	To be Assigned
Filing Date	To be Assigned
First Named Inventor	George Eckerdt
Examiner Name	To be Assigned
Art Unit	To be Assigned
Attorney Docket No.	23712/111

**METHOD OF PAYMENT** (*check all that apply*)

Check  Credit Card  Money Order  Other  None

<input type="checkbox"/>	Deposit Account:
	Deposit Account Number
	14-1138

**Deposit**

Account Name | Nixon Peabody LLP

Name \_\_\_\_\_

**The Commissioner is authorized to:** (check all that apply)

Charge fee(s) indicated below  
 Charge any additional fee(s)

## **FEE CALCULATION** (continued)

### **3. ADDITIONAL FEES**

Large Entity		Small Entity		
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1051	130	2051	65	Surcharge – late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	1053	130	Non-English specification
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1,450	2254	725	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	1451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive – unavoidable
1453	1,300	2453	650	Petition to revive – unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1460	130	1460	130	Petitions to the Commissioner
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)
1806	180	1806	180	Submission of Information Disclosure Stmt
8021	40	8021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	1802	900	Request for expedited examination of a design application
Other fees (specify)				

\*Based on Radio Five's Fall 1981

SUBTOTAL (a) (\$)<sup>(b)</sup> 0

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being

- deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop \_\_\_\_\_, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450
  - transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) \_\_\_\_\_

Date \_\_\_\_\_

Signature

**Typed or printed name**

**SUBMITTED BY**

Caption 6c (Continued)

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	John Campa	Registration No. (Attorney/Agent)	49,014	Telephone (585) 263-1519
Signature			Date	August 19, 2003

SEND TO: Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

## **EXPRESS MAIL CERTIFICATE**

**DOCKET NO. : 23712/111**

**APPLICANT(S) : George Eckerdt**

**TITLE : TANGIBLE SECURITY ASSET MANAGEMENT SYSTEM  
AND METHODS THEREOF**

Certificate is attached to the **Utility Patent Application Transmittal Form (1 page)** in duplicate and **Fee Transmittal Form (1 page)** in duplicate of the above-named application.

**“EXPRESS MAIL” NUMBER: EV138588116US**

**DATE OF DEPOSIT: August 19, 2003**

I hereby certify that this paper or fee is being deposited with the United States Postal Service “Express Mail Post Office to Addressee” service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop: Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

John Campa

(Typed or printed name of person mailing  
paper or fee)

*John Campa*

(Signature of person mailing paper or fee)

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants : <b>George Eckerdt</b>	)	Examiner: <b>To be assigned</b>
Serial No. : <b>To be assigned</b>	)	Art Unit: <b>To be assigned</b>
Cnfrm. No. : <b>To be assigned</b>	)	
Filed : <b>Herewith</b>	)	
For : <b>A TANGIBLE ASSET MANAGEMENT SYSTEM AND METHODS THEREOF</b>	)	

## **SUBMISSION OF FORMAL DRAWINGS**

Mail Stop: Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the subject application are **FIFTEEN (15)** sheets of formal drawings.

Respectfully submitted,

Date: August 19, 2003

John Campa  
John Campa  
Registration No. 49,014

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